



U.S. Department of Justice

*United States Attorney
District of New Mexico*

*Post Office Box 607
Albuquerque, New Mexico 87103*

*505/346-7274
505/346-7224
FAX 505/346-7296*

July 25, 2008

New Mexico Finance Authority
Attention: Custodian of Records
207 Shelby Street
Santa Fe, NM 87501

Re: **Grand Jury Subpoena**

Dear Custodian of Records:

You have been served with a subpoena duces tecum issued by a federal grand jury in connection with a criminal investigation being conducted in this district. That subpoena directs you, as custodian of records, to produce certain records on **August 26, 2008** before the grand jury in Albuquerque, New Mexico.

As a convenience to you, you may, if you wish, deliver the requested documents or mail the documents to Special Agent Marcus B. McCaskill, Federal Bureau of Investigation, 4200 Luecking Park Avenue, NE, Albuquerque, NM 87107, telephone number (505) 889-1544. No appearance is necessary before the Grand Jury if the requested documents are turned over to Special Agent Marcus B. McCaskill. Should you choose to mail the requested documents, please prepare the documents in some type of sealed package, and mark on the package, in bright red letters as possible, "**GRAND JURY MATERIALS, DO NOT OPEN,**" and turn them over to the agent who requested them in a sealed condition.

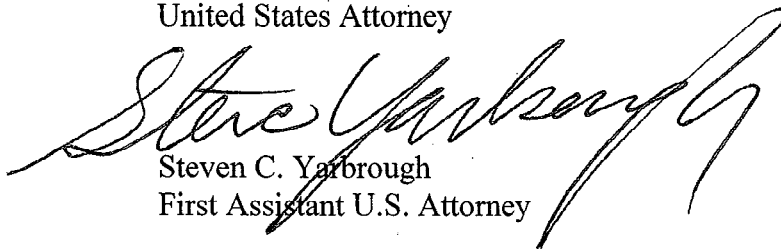
Subpoenas issued in connection with proceedings before a grand jury are specifically exempt from the customer notification requirements of the Right to Financial Privacy Act, pursuant to 12 U.S.C. § 3413(I), and disclosure under certain circumstances is prohibited under 12 U.S.C. § 3420(b)(1) and 18 U.S.C. § 1510(b). Premature disclosure of this subpoena for records might impede the investigation in this case. Therefore, you are requested not to disclose the existence of this subpoena.

New Mexico Finance Authority
Attention: Custodian of Records
July 25, 2008
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Your cooperation with this request would be most appreciated. Should you have any questions regarding the foregoing, please feel free to contact Special Agent Marcus B. McCaskill.

Sincerely,

GREGORY J. FOURATT
United States Attorney



Steven C. Yarbrough
First Assistant U.S. Attorney

United States District Court

FOR THE

NEW MEXICO

DISTRICT OF

TO: New Mexico Finance Authority
Attention: Custodian of Records
207 Shelby Street
Santa Fe, NM 87501

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

PERSON DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	Pete V. Domenici United States Courthouse 333 Lomas Boulevard NW Albuquerque, NM 87102	ROOM	Grand Jury Room 3 rd Floor
		DATE AND TIME	August 26, 2008

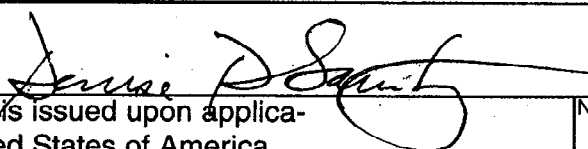
YOU ARE ALSO COMMANDED to bring with the following document(s) or object(s):*

See Attachment to Federal Grand Jury Subpoena.

PLEASE CONTACT THE GRAND JURY COORDINATOR AT (505) 224-1403
FOR EXACT DATE AND TIME TO APPEAR

Please see additional information on reverse

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK	DATE
Matthew J. Dykman, CLERK	July 24, 2008
(BY) DEPUTY 	
This subpoena is issued upon application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
GREGORY J. FOURATT UNITED STATES ATTORNEY	Steve Yarbrough Post Office Box 607 Albuquerque, New Mexico 87103 (505) 346-7274

*If not applicable, enter "none"

ATTACHMENT TO FEDERAL GRAND JURY SUBPOENA

New Mexico Finance Authority
207 Shelby Street,
Santa Fe, NM, 87501

Please provide the following records:

1. Any and all documents, work papers, research, and drafts related to The Request for Proposals (RFP) for Investment Advisory Services for the New Mexico Finance Authority published December 30, 2003.
2. Any and all proposals, including additional comments, addendums, or re-submissions, submitted by any individual, group, or company in response to the RFP for Investment Advisory Services for the New Mexico Finance Authority published December 30, 2003, complete with proof of mailing or receipt.
3. Any and all correspondence, whether in electronic or paper form, with any individual, group, or company who was a potential candidate for responding to the RFP for Investment Advisory Services for the New Mexico Finance Authority published December 30, 2003.
4. Any and all records, including agendas, lists of attendees, distributed materials, related to presentations made by any individual, group, or company who responded to the RFP for Investment Advisory Services for the New Mexico Finance Authority published December 30, 2003, complete with a list of dates and locations for when such presentations were made.
5. Any and all records related to the NMFA staff evaluation of responses to the RFP for Investment Advisory Services for the New Mexico Finance Authority published December 30, 2003, including draft and final evaluations, work papers, rankings, and recommendations from each member of the NMFA staff evaluation team.
6. Any and all correspondence, whether in electronic or paper form, regarding the evaluation of responses to the RFP for Investment Advisory Services for the New Mexico Finance Authority published December 30, 2003, and the selection of a financial services company to perform Investment Advisory services for NMFA.
7. Any and all records, including meeting minutes, for any NMFA

staff meeting during which evaluations of responses to the RFP for Investment Advisory Services for the New Mexico Finance Authority published December 30, 2003, or the selection of a financial services company to perform Investment Advisory services for NMFA was discussed.

8. NMFA Board meeting minutes, including any committee or executive committee meetings, during which evaluations of responses to the RFP for Investment Advisory Services for the New Mexico Finance Authority published December 30, 2003, or the selection of a financial services company to perform Investment Advisory services for NMFA was discussed.
9. Any and all professional service contracts or agreements with Chambers Dunhill Rubin Financial Products (CDR), Rubin/Chambers, Dunhill Insurance Services, Inc., Ryan Labs, Inc., Citigroup Smith Barney, and/or First Southwest Company.
10. Any and all correspondence, whether in electronic or paper form, CDR, Rubin/Chambers, Dunhill Insurance Services, Inc., and/or any of its employees or representatives.
11. Any and all records of business conducted by CDR on behalf of or in connection with the NMFA, including a record of any fees earned by CDR for business conducted on behalf of or in connection with the NMFA.
12. Any and all records of business conducted by First Southwest Company on behalf of or in connection with the NMFA, including a record of any fees earned by First Southwest Company for business conducted on behalf of or in connection with the NMFA.
13. Any and all records of business conducted by Ryan Labs on behalf of or in connection with the NMFA, including a record of any fees earned by Ryan Labs for business conducted on behalf of or in connection with the NMFA.
14. Any and all correspondence, whether in electronic or paper form, with Michael Stratton, Stratton & Associates, and/or any of Stratton & Associates' employees or representatives.
15. Any and all correspondence, whether in electronic or paper form, with Martin Braun, Bloomberg News, and/or any of Bloomberg News' employees or representatives.
16. Any records, including memorandums, meeting minutes, reports of interviews, notes from interviews, and research, regarding an internal audit, investigation, and/or inquiry

of allegations made in a Bloomberg News article, printed in October, 2006, authored by Martin Braun, which reported on contributions made by CDR to New Mexico government officials and business awarded to CDR by the NMFA.

In lieu of a personal appearance before the Grand Jury, the requested documents may be delivered, on or before the Grand Jury date, to:

SA Marcus B. McCaskill
Federal Bureau of Investigation
4200 Luecking Park Avenue, NE,
Albuquerque, NM 87107

(505) 889-1544

Any questions concerning this subpoena can be directed to SA McCaskill at the above listed number.